

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

1765
Docket No.
BUR920010077US1

In Re Application Of **PEK J. Su et al**

COPY OF PAPERS
ORIGINALLY FILED

Serial No
09/682,978

Filing Date
November 5, 2001

Examiner

Group Art Unit

Title: **Method of Stabilizing Oxide Etch and Chamber Performance Using Seasoning**

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
BUR920010077US1

In Re Application of **K. Datta et al**

**COPY OF PAPERS
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Serial No.
09/682,978

Filing Date
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **09-0456** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Arnette Dodge

Typed or Printed Name of Person Mailing Correspondence

***This certificate may only be used if paying by deposit account.**

Howard J. Walter, Jr.
Signature

Howard J. Walter, Jr., Reg. No. 24,832

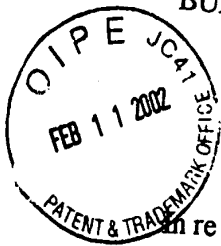
Dated:

1/23/02

CC:

2

BUR920010077US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
K. Dutra et al.

Serial No.: 09/682,978

Filed: Nov. 5, 2001

Group Art Unit: Unknown

Examiner: unknown COPY OF PAPERS
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For: METHOD OF STABILIZING OXIDE ETCH AND CHAMBER PERFORMANCE
USING SEASONING

Asst. Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.56

Sir:

Under provisions of 37 C.F.R. 1.97 through 1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicants respectfully bring the documents listed on the attached Form PTO-1449 to the attention of the Examiner in charge of the above-identified application. A copy of each listed document is provided herewith for the convenience of the Examiner.

These documents are being cited within three months of the application filing date and/or before a first office action on the merits has issued.

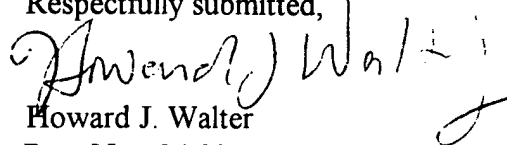
This citation does not constitute an admission that the cited references are relevant or material to the claims nor should it be construed as a representation that no other art than that identified exists. They are merely cited as constituting related art of which the applicant is aware.

BUR920010077US1

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It is respectfully requested that these documents be considered by the Examiner and formally made of record in this application.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Howard J. Walter".

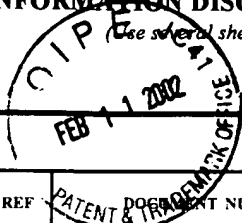
Howard J. Walter

Reg. No. 24,832

International Business Machines Corporation
Intellectual Property Law Dept.
1000 River Street
Essex Junction, Vermont 05452

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

BUR920010077US1

Application Number

not yet assigned

Applicant(s)

K. Dutra et al.

Filing Date

concurrently

Group Art Unit

not yet assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	PATENT DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TMM		6197699	3/01	Fritzinger et al.			
TMM		6191047	2/01	Li et al.			

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
TMM		JP7201814A	8/95	JP			✓	
TMM		JP7263408A	10/95	JP			✓	
TMM		JP9082690A	3/97	JP			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

James M. Peck

DATE CONSIDERED

06/17/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.